# UNIFORM GENERATION OF RANDOM REGULAR GRAPHS OF MODERATE DEGREE 

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#### Abstract

We show how to generate $k$-regular graphs on $n$ vertices uniformly at random in expected time $O\left(n k^{3}\right)$, provided $k=O\left(n^{1 / 3}\right)$. The algorithm employs a modification of a switching argument previously used to count such graphs asymptotically for $k=o\left(n^{1 / 3}\right)$. The asymptotic formula is re-derived, using the new switching argument. The method is applied also to graphs with given degree sequences, provided certain conditions are met. In particular, it applies if the maximum degree is $O\left(|E(G)|^{1 / 4}\right)$.


## 1. Introduction.

Random regular graphs have come under ever increasing scrutiny in recent years. However, it is not easy to generate $k$-regular graphs on $n$ vertices uniformly at random. It is known how to do this for small $k$ in expected time $O\left(e^{k^{2} / 2} n k\right)$ per graph, using a procedure which does not necessarily terminate (see Wormald [W] or Bollobás [B]); but even for $k \approx \log n$ this is not polynomial expected time. If one insists on an algorithm which always terminates, the picture is even worse: it can be done [W] for $k=3$ and 4 but already the algorithm is very complicated. On the other hand, one can slacken the uniformity constraint slightly, and ask for an almost uniform probability distribution. Sinclair and Jerrum [SJ] were successful at generating random graphs of this type with given degrees in polynomial time, as long as the degrees are bounded above by $O\left(m^{1 / 4}\right)$ where $m$ is the number of edges. For this, they employed Markov processes, and asymptotic enumeration results obtained by McKay $[\mathrm{M}]$ using switchings.

Our aim here is to show how to generate graphs with given degrees uniformly at random in polynomial time. Our result applies to a slightly wider range of degree sequences then Sinclair and Jerrum's. To do this we combine features of the basic method of the algorithm for generating $k$-regular graphs in [W] with a type of switching related
to that in $[\mathrm{M}]$. This new type of switching also enables extension of the asymptotic enumeration results (see McKay and Wormald [MW]).

Our model of a graph $G$ with vertex degrees $k_{1}, \ldots, k_{n}$ is a set of $M=\Sigma k_{i}$ points arranged in cells of sizes $k_{1}, k_{2}, \ldots, k_{n}$. We take a partition (called a pairing) $P$ of the $M$ points into $\frac{1}{2} M$ parts (called pairs) of size 2 each. The degrees of $P$ are $k_{1}, \ldots, k_{n}$. The vertices of $G$ are identified with the cells and the edges with the pairs; each edge of $G$ joins the vertices in which the points of the corresponding pair lie. A loop of $P$ is a pair whose two points lie in the same vertex. It should cause no confusion if we refer to the cells as vertices. A multiple pair is a set of $j \geq 2$ pairs each involving the same two vertices; this is a double pair if $j=2$. The mate of a point is the other point in its pair.

If the pairing has multiple pairs then $G$ is strictly a multigraph rather than a graph; we also forbid loops in a graph. For $j \geq 2$, a $j$ - path is a sequence $p_{1}, \ldots, p_{2 j}$ of points such that $p_{2 i}$ and $p_{2 i+1}$ are distinct but in the same vertex, for $i=1, \ldots, j-1$. Note that each non-loop double pair contains four distinct 2-paths, two beginning at each vertex involved.

We make use of the following two operations on a pairing:

## I $\ell$-switching:

Take pairs $\left\{p_{1}, p_{6}\right\},\left\{p_{2}, p_{3}\right\},\left\{p_{4}, p_{5}\right\}$, where $\left\{p_{2}, p_{3}\right\}$ is a loop, and $p_{1}, p_{2}, p_{3}, p_{4}, p_{5}$ and $p_{6}$ are in five different vertices. Replace these pairs by $\left\{p_{1}, p_{2}\right\},\left\{p_{3}, p_{4}\right\},\left\{p_{5}, p_{6}\right\}$. In this operation, none of the pairs created or destroyed is permitted to be part of a multiple pair.

## II $d$-switching:

Take pairs $\left\{p_{1}, p_{5}\right\},\left\{p_{2}, p_{6}\right\},\left\{p_{3}, p_{7}\right\},\left\{p_{4}, p_{8}\right\}$, where $p_{2}$ and $p_{3}$ are in the same vertex, as are $p_{6}$ and $p_{7}$, but the vertices containing $p_{1}, p_{2}, p_{4}, p_{5}, p_{6}, p_{8}$ are all distinct. Replace these pairs by $\left\{p_{1}, p_{2}\right\},\left\{p_{3}, p_{4}\right\},\left\{p_{5}, p_{6}\right\},\left\{p_{7}, p_{8}\right\}$. Note that these form two 2 -paths. In this operation, none of the pairs created or destroyed is permitted to be part of a multiple pair, except that $\left\{p_{2}, p_{6}\right\},\left\{p_{3}, p_{7}\right\}$ form a double pair.

A forward $\ell$-switching is an $\ell$-switching as described, and a backward $\ell$-switching is the reverse operation. We use the same convention for $d$-switchings. Note that a forward $\ell$-switching always reduces the number of loops by 1 and does not create or destroy double pairs. Similarly, a forward $d$-switching reduces the number of double pairs by 1 and neither creates nor destroys loops. (See Figure 1.)

In the next section, we analyse random pairings and the number of ways that the switching operations can be carried out in pairings with given numbers of loops and double pairs. From this, McKay's formula for the asymptotic number of $k$-regular graphs is re-derived in Section 3, and in Section 4 we give a procedure $D E G$ for generating degree-constrained graphs uniformly at random. In Section 5, we show how to reduce the asymptotic average-case time complexity of $D E G$ in the case of regular graphs.

## 2. Preliminary results.

In this section, we consider a pairing $P$ with $M$ points and degrees $k_{1}, \ldots, k_{n}$, with $k_{i} \leq k=k(n)$ for $i=1, \ldots, n$. The first four lemmas, 1 to $3^{\prime}$, refer to such a pairing $P$ uniformly at random. The notation $o, O$ and $\sim$ refers to $n$ tending to $\infty$, as does $\rightarrow$ when used in connexion with functions, and our result are uniform over all sequences $k_{1}, \ldots, k_{n}$ as above, provided $M \rightarrow \infty$. We use $E$ to denote expectation, and
put

$$
M_{2}=M_{2}\left(k_{1}, \ldots, k_{n}\right)=\sum_{i=1}^{n} k_{i}\left(k_{i}-1\right) .
$$

Lemma 1. The probability of $t$ given pairs occurring in $P$ is at most $(M-2 t)^{-t}$, which is asymptotic to $M^{-t}$ for $t$ fixed.

Proof. To be precise, the probability is

$$
\frac{\left[\frac{M}{2}\right]_{t} 2^{T}}{[M]_{2 t}} .
$$

Lemma 2. The probability that $P$ contains at least one triple pair is $O\left(\frac{k^{2} M_{2}^{2}}{M^{3}}\right)$ and the probability of at least one double loop is $O\left(\frac{k^{2} M_{2}}{M^{2}}\right)$.
Proof. By Lemma 1, the expected number of triple pairs (other than triple loops) is

$$
M^{-3} \sum_{1 \leq i<j \leq n} 6\binom{k_{i}}{3}\binom{k_{j}}{3} \leq 6 M^{-3}\left(\sum_{1 \leq i \leq n}\binom{k_{i}}{3}\right)^{2}<\frac{1}{6} M^{-3}\left(k M_{2}\right)^{2}
$$

Similarly, the expected number of double loops is

$$
M^{-2} \sum_{1 \leq i \leq n} 3\binom{k i}{4}<k^{2} M_{2} /\left(8 M^{2}\right)
$$

Let $\ell$ denote the number of loops, and let $d$ denote the number of double pairs (not in triple pairs) in $P$. For counting regular graphs, we use the following:
Lemma 3. Let $\omega(n) \rightarrow \infty$. If $k^{2}<M / 24$, we have

$$
\operatorname{Pr}\left\{d>k^{2}+\omega(n) \quad \text { or } \quad \ell>2 k+\omega(n)\right\}=o(1) .
$$

Proof. By Lemma 1,

$$
E\left(\binom{d}{k^{2}}\right) \leq \frac{1}{\left(k^{2}\right)!}\left(\frac{\frac{1}{2}\binom{M}{2} k^{2}}{\left(M-4 k^{2}\right)^{2}}\right)^{k^{2}}
$$

Hence,

$$
\operatorname{Pr}\left\{d \geq k^{2}\right\} \leq E\left(\binom{d}{k^{2}}\right)=O\left(\left(\frac{9}{25} k^{2}\right)^{k^{2}} /\left(\frac{k^{2}}{e}\right)^{k^{2}}\right)=O\left(\left(\frac{9 e}{25}\right)^{k^{2}}\right)
$$

Separate consideration of the cases $k \rightarrow \infty$ and $k$ bounded, and a similar computation for $\ell$, give the lemma.

For the generation of graphs, we will use the following similar result.

Lemma $3^{\prime}$. For $k=o(n)$, and $n$ sufficiently large,

$$
\operatorname{Pr}\left\{d>\left(\frac{M_{2}}{M}\right)^{2} \quad \text { or } \quad \ell>\frac{M_{2}}{M}\right\}<\frac{3}{4} .
$$

Proof. By Lemma 1, $E(\ell)<\frac{1}{M} \sum\binom{k_{i}}{2}=\frac{M_{2}}{2 M}$. Thus, $\operatorname{Pr}\left\{\ell>\frac{M_{2}}{2 M}\right\}<\frac{1}{2}$. Similarly, $E(d)<\frac{2}{M^{2}} \sum_{1 \leq i<j \leq n}\binom{k_{i}}{2}\binom{k_{j}}{2}<\frac{1}{M^{2}}\left(\sum_{1 \leq i \leq n}\binom{k_{i}}{2}\right)^{2}=\left(\frac{M_{2}}{2 M}\right)^{2}$. So $\operatorname{Pr}\left\{d>\left(\frac{M_{2}}{M}\right)^{2}\right\}<$ $\frac{1}{4}$.

Let $\mathcal{C}_{\ell, d}$ be the set of pairings with $\ell$ loops, $d$ double pairs, and no triple pairs or double loops.

Lemma 4. Denote an operation taking an element of $\mathcal{C}_{i, j}$ to an element of $\mathcal{C}_{k, \ell}$ by $\mathcal{C}_{i, j} \rightarrow \mathcal{C}_{k, \ell}$. For each of the following operations, the number, $m$, of ways of applying the operation is as stated.
(a) forward $\ell$-switching $\mathcal{C}_{\ell, d} \rightarrow \mathcal{C}_{\ell-1, d}$ :

$$
2 \ell M^{2} \geq m \geq 2 \ell M^{2}\left(1-O\left(\frac{k^{2}+\ell+d}{M}\right)\right)
$$

(b) backward $\ell$-switching $\mathcal{C}_{\ell-1, d} \rightarrow \mathcal{C}_{\ell, d}$ :

$$
M M_{2} \geq m \geq M M_{2}\left(1-\frac{(k-1)(6(\ell+2 d)+(k-1) \ell)}{M_{2}}-\frac{2(k-1)(k+2)}{M}\right) .
$$

(c) forward d-switching $\mathcal{C}_{0, d} \rightarrow \mathcal{C}_{0, d-1}$ :

$$
4 d M^{2} \geq m \geq 4 d M^{2}\left(1-O\left(\frac{k^{2}+d}{M}\right)\right)
$$

(d) backward d-switching $\mathcal{C}_{0, d-1} \rightarrow \mathcal{C}_{0, d}$ :

$$
M_{2}^{2} \geq m \geq M_{2}^{2}\left(1-\frac{(k-1)\left(16 d+9(k-1)+3(k-1)^{2}\right)}{M^{2}}\right)
$$

Proof. Given a pairing in $\mathcal{C}_{\ell, d}$ to which a forward $\ell$-switching is to be applied, we can choose the points $p_{1}$ and $p_{4}$ in $M$ ways each, and the point $p_{2}$ in $2 \ell$ ways. This determines precisely how the switching is to be applied; for example, the point $p_{3}$ is the mate of $p_{2}$. Hence the upper bound on $m$ in (a). For some choices of $p_{1}, p_{4}$ and $p_{2}$ the switching cannot be performed (for example, if $p_{1}=p_{4}$ ) or does not yield an element of $\mathcal{C}_{\ell-1, d}$ due to the creation or destruction of other loops or multiple pairs. These "bad" choices are (over) estimated and subtracted to give the lover bound on $m$ in (a). We will not need a very accurate estimate of this. Similarly, in (b) we can choose the points $p_{2}$ and $p_{3}$ in $M_{2}$ ways, and then $p_{6}$ in $M$ ways. Hence the upper bound. For the lower bound, there are three types of things that can go wrong:
(i) a pair chosen might be in a loop or double pair,
(ii) a vertex containing $p_{i}$ for $i \leq 4$ might contain $p_{5}$ and $p_{6}$,
(iii) the selection might be such that a double pair would be created in the switching. That is, one of three forbidden edges is already present in the graph (one of these is a loop).
We bound the number of possibilities in (i) by $3(2 \ell+4 d)(k-1) M$, in (ii) by $6 M_{2}(k-1)$, and in (iii) by $\ell M(k-1)^{2}+2 M_{2}(k-1)^{2}$. The lower bound follows.

In (c), we choose the points $p_{2}$ and $p_{3}$ at the same end of a double pair in $4 d$ ways, and then points $p_{1}$ and $p_{4}$ in $M$ ways each, and for the lower bound subtract the number of bad choices as in (a). In (d), we choose $p_{2}$ and $p_{3}$ in $M_{2}$ ways, and $p_{6}$ and $p_{7}$ similarly. A chosen pair can be a double pair in at most $16 d(k-1) M_{2}$ ways, a vertex can simultaneously contain $p_{1}, p_{2}, p_{3}$ or $p_{4}$ and $p_{5}, p_{6}, p_{7}$, or $p_{8}$ in at most $9 M_{2}(k-1)^{2}$ ways, and forbidden pairs can be present in at most $3 M_{2}(k-1)^{3}$ ways.

## 3. Enumeration.

We show here that the bounds in Lemma 4 are sufficiently accurate to give a simpler proof of the formula given by McKay [M] for the asymptotic number of $k$-regular graphs for $k=o\left(n^{1 / 3}\right)$. The precise forms of the lower bounds are not even required. We will use this idea elsewhere [MW] to extend the asymptotic formula for counting graphs by degree sequence, but here we confine the enumerative discussion to the simpler regular case. We include the proof of the following result because it bears more than passing resemblance to the algorithm given in Section 3 for generating these graphs.

Theorem 1. (McKay [M]) If $k=o\left(n^{1 / 3}\right)$, the number of labelled $k$-regular graphs on $n$ vertices is

$$
\frac{(n k)!\exp \left(\frac{1-k^{2}}{4}\right)}{(n k / 2)!2^{n k / 2}}(1+o(1))
$$

uniformly as $n \rightarrow \infty$ with $k n$ even.
Proof. Note that for $k$-regular graphs, $M=k n$ and $M_{2}=k(k-1) n$.
Set $c_{\ell, d}=\left|\mathcal{C}_{\ell, d}\right|$. Suppose $d<\omega(n)+k^{2}$ and $\ell<\omega(n)+k$ where $\omega(n) \rightarrow \infty$ arbitrarily slowly. By Lemma 4(a) and (b),

$$
\frac{c_{\ell, d}}{c_{\ell-1, d}}=\frac{(k-1)}{2 \ell}\left(1+O\left(\frac{k+\omega(n)}{n}\right)\right)
$$

for $\ell \geq 1$, and from (c) and (d),

$$
\frac{c_{0, d}}{c_{0, d-1}}=\frac{(k-1)^{2}}{4 d}\left(1+O\left(\frac{k+\omega(n)}{n}\right)\right) .
$$

Hence

$$
\begin{aligned}
\frac{c_{\ell, d}}{c_{0,0}} & =\frac{1}{d!\ell!}\left(\frac{k-1}{2}\right)^{2 d+\ell}\left(1+O\left(\frac{k+\omega(n)}{n}\right)\right)^{d+\ell} \\
& =\frac{1}{d!\ell!}\left(\frac{k-1}{2}\right)^{2 d+\ell}(1+o(1)) .
\end{aligned}
$$

Thus the sum of $c_{\ell, d}$ over $0 \leq \ell \leq 2 k+\omega(n)$ and $0 \leq d \leq k^{2}+\omega(n)$ is $c_{0,0} \exp \left(\frac{(k-1)^{2}}{4}+\frac{k-1}{2}\right)$. So by Lemma 3, $\operatorname{Pr}\left\{\mathcal{C}_{0,0}\right\} \sim \exp \left(\frac{1-k^{2}}{4}\right)$, and the theorem follows.

## 4. Generation of random graphs with specified vertex degrees.

We describe in this section a procedure $D E G$ whose input is $n$ and $k_{1}, \ldots, k_{n}$ and output is a random graph on $n$ vertices of degrees $k_{1}, \ldots, k_{n}$. It uses two procedures, which eliminate loops and multiple pairs from a random pairing, but which terminate unsuccessfully with a certain probability. Such a termination we denote by restart; in this case $D E G$ should be repeated. We assume all the $k_{i}$ are non-zero to make it easier to state complexity results. In particular, we have $M \geq n$.

Let $B_{1}\left(B_{2}, B_{3}, B_{4}\right)$ denote the upper(lower, upper, lower, respectively) bound in Lemma 4(a) ((b), (c), (d), respectively). We first have a procedure for eliminating loops.
procedure NO LOOPS $(P)$; [ $P$ is a pairing]
while $P$ has at least one loop do
begin
obtain a pairing $P^{\prime}$ by applying a random forward $\ell$-switching to $P$;
$m_{1}:=$ the number of ways to apply a forward $\ell$-switching to $P$
$m_{2}:=$ the number of ways to apply a backward $\ell$-switching to $P^{\prime}$
restart with probability $1-\frac{m_{1} B_{2}}{m_{2} B_{1}}$; otherwise $P:=P^{\prime}$;
end;
We next define a procedure NODOUBLES which is identical to NOLOOPS except that "loop" is replaced by "double pair", $\ell$, by $d, B_{1}$ by $B_{3}, B_{2}$ by $B_{4}, m_{1}$, by $m_{3}$, and $m_{2}$ by $m_{4}$. Finally, we have the following procedure for generating a random graph $G$ on a vertices of degrees $k_{1}, \ldots, k_{n}$ :
procedure $D E G\left(n, k_{1}, \ldots, k_{n}\right)$;

## begin

select a pairing $P$ uniformly at random from the pairings of degrees $k_{1}, \ldots, k_{n}$;
if $P$ has any multiple pairs of cardinality greater than 2 , or a double loop, or more than $\left(\frac{M_{2}}{M}\right)^{2}$ double pairs
or more than $\frac{M_{2}}{M}$ loops then restart;
if $P$ has any loops then NOLOOPS $(P)$;
if $P$ has any double pairs then NODOUBLES $(P)$;
let $G$ be the graph corresponding to $P$;
end.
Theorem 2. Successful terminations of $D E G$ generate graphs of degrees $k_{1}, \ldots, k_{n}$ uniformly at random.

Proof. We show that at each stage in the algorithm, the probability of a pairing $P$ occurring, given that it is in $\mathcal{C}_{\ell, d}$, is $\left|\mathcal{C}_{\ell, d}\right|^{-1}$. This is true immediately of the initial pairing. It only remains to show that each iteration of the while loops in NOLOOPS and NODOUBLES preserves this property.

So assume that $P$, as in the start of NOLOOPS, is chosen uniformly at random from $\mathcal{C}_{\ell, d}$. Consider $P^{\prime}$ obtained by applying a random forward $\ell$-switching to $P$. This particular $\ell$-switching is performed with probability $\frac{1}{m_{1}}\left|\mathcal{C}_{\ell, d}\right|^{-1}$; but the are $m_{2}$ $\ell$-switchings leading to $P^{\prime}$, each with this probability. So the probability of getting a particular $P^{\prime}$ appearing is $\frac{m_{2}}{m_{1}}\left|\mathcal{C}_{\ell, d}\right|^{-1}$. The probability of accepting this as the new $P$ in NOLOOPS is $\frac{m_{1} B_{2}}{m_{2} B_{1}}$, which is $\leq 1$ by Lemma 4 (a) and (b). Hence, an arbitrary pairing in $\mathcal{C}_{\ell-1, d}$ occurs as the new $P$ with probability $\frac{B_{2}}{B_{1}}\left|\mathcal{C}_{\ell, d}\right|^{-1}$. As this is independent of the old $P$, and as the only other possible termination within the while loop of NOLOOPS is a restart, this means that each $P$ in $\mathcal{C}_{\ell-1, d}$ is equally likely to occur at the beginning of the next iteration of the of the while loop. The analysis of NODOUBLES is similar, and the theorem follows.

Theorem 3. $D E G$ can be implemented so that it generates graphs with $n$ vertices of degrees $1 \leq k_{1}, \ldots, k_{n} \leq k$ uniformly at random in expected time $O\left(M k^{2}+M_{2}^{2}\right)$ per graph, provided $k^{3}=O\left(M^{2} / M_{2}\right)$ and $k^{3}=o\left(M+M_{2}\right)$.

Note. $M k^{2}+M_{2}^{2} \leq n k^{3}+n^{2} k^{4}$. Also, $k=O\left(M^{1 / 4}\right)$ implies $k^{3}=O\left(\frac{M^{2}}{M_{2}}\right)$ and $k^{3}=o(M)$.

Proof. We bound the expected time, per successful termination of $D E G$, in a sequence of repeated runs. For this, we take an upper bound on the time taken before a restart or successful termination, and then divide by the probability of not restarting in a single run.

Consider firstly the case $k^{3}=o\left(M^{2} / M_{2}\right)$. The initial pairing $P$ can be generated by choosing firstly a mate for one point at random from all the other points, secondly a mate for another unused point at random from the remaining points, and so on. Assuming that a random number in $[1, \ldots, j], j \leq M$, can be generated in constant time, the time taken to generate $P$ is $O(M)$. Checking for triple pairs and double loops, and finding the numbers of double pairs and loops can be done in time $O\left(M k^{2}\right)$. By Lemmas 2 and $3^{\prime}$, a restart occurs at this point with probability at most $\frac{3}{4}+o(1)$.

It only remains to bound the time taken by a run of NOLOOPS or NODOUBLES. We analyse NODOUBLES in detail, since better bounds can easily be obtained for NOLOOPS, due to the smaller number of loops than double pairs in the worst case.

Note that NODOUBLES is only called if there are at most $\left(\frac{M_{2}}{M}\right)^{2}$ double pairs, and so this is an upper bound on the number of iterations of the while loop. This also means that we can assume $M_{2} \geq M$ in NODOUBLES; otherwise, it cannot be called. We can obtain $P^{\prime}$ easily enough by mimicking the proof of Lemma 4(c): choosing $p_{2}, p_{3}, p_{1}$ and $p_{4}$ at random in one of $4 d M^{2}=B_{3}$ ways, with each choice equally likely. At this point, if the $d$-switching cannot legally be performed, restart. The probability of not restarting here is $m_{3} / B_{3}$, so $m_{3}$ does not need to be computed. However the time taken to compute $m_{4}$ determines the overall expected run-time. We need $m_{4}$ since the next thing to do is to restart with probability $1-\frac{B_{4}}{m_{4}}$, thus achieving the desired probability $1-\frac{m_{3} B_{4}}{m_{4} B_{3}}$ of a restart in this step of the algorithm. Note that by Lemma 4, this probability is $O\left(\frac{k^{2}+d}{M}+\frac{k d k^{3}}{M_{2}}\right)=O\left(\frac{k^{3}}{M_{2}}\right)$ as $M_{2}<k M$. Hence, the probability of not restarting the
whole execution of NODOUBLES is $\left(1-O\left(\frac{k^{3}}{M_{2}}\right)\right)\left(\frac{M_{2}}{M}\right)^{2}=1-O\left(\frac{k^{3} M_{2}}{M^{2}}\right)=1-o(1)$. A similar calculation for NOLOOPS gives $1-O\left(\frac{k^{3}}{M}\right)=1-o(1)$.

If ease of implementation were of prime importance, one could calculate $m_{4}$ in time $O\left(M_{2}^{2}\right)$ by running through all $M_{2}^{2}$ choices of $p_{1}, p_{5}, p_{3}$ and $p_{7}$ as in the proof of Lemma $4(\mathrm{~d})$, and then testing each (in constant time) for allowability of the reverse $d$-switching. (Recall that $M_{2} \geq M$. An adjacency matrix of the graph of the pairing is useful here.) This can be repeated for each iteration of the while loop in NODOUBLES; i.e. at most $\left(\frac{M_{2}}{M}\right)^{2}$ times. The analogous process for NO LOOPS requires $O\left(M M_{2}\right)$, repeated up to $\frac{M_{2}}{M}$ times. This would give an overall average-case time complexity for NODOUBLES of $O\left(\frac{M_{2}^{4}}{M^{2}}\right)=O\left(k^{2} M_{2}^{2}\right)=O\left(n^{2} k^{6}\right)$. On the other hand, instead of recalculating $m_{4}$ for each iteration, one could calculate $m_{4}$ in the initial pass and then update its value for the next iteration by calculating the change due to the $d$-switching. Each of the $O(1)$ pairs involved in a switching requires time $O\left(k M_{2}\right)$ to find its contribution to $m_{4}$ (either positive or negative) as one of the pairs to be switched out in a reverse $d$-switching, and time $O\left(k^{4}\right)$ as one of the pairs to be switched in. The update must be performed at most $\left(\frac{M_{2}}{M}\right)^{2}=O\left(\frac{M_{2}}{k^{3}}\right)$ times, yielding the time complexity $O\left(M_{2}^{2}\right)$ for NODOUBLES, since $k \leq n \leq M \leq M_{2}$ here. As seen before, NOLOOPS requires at most $O\left(M_{2}^{2}\right)$, and we are done in the case $k^{3}=o\left(M^{2} / M_{2}\right)$.

For $k=O\left(M^{2} / M_{2}\right)$ with $k \neq o\left(M^{2} / M_{2}\right)$, triple pairs can become a problem. However, by using inclusion-exclusion (or Bonferroni's inequalities) it is easy to show that the number of triple pairs in such a random pairing has asymptotically a Poisson distribution with mean at least $c M^{2} / M_{2}$ for some $c>0$. (This is similar to the argument in Wormald [W2]. Alternatively, it can also be derived by using switchings as in McKay [M].) Hence, the probability of no triple pairs occurring is $e^{-O(1)}$. The only other feature of the proof which changes for $k=O\left(\frac{M^{2}}{M_{2}}\right)$ is the probability of executing NODOUBLES without a restart: again, it is $\left(1-O\left(\frac{k^{3}}{M_{2}}\right)\right)\left(\frac{M_{2}}{M}\right)^{2}=$ $\exp \left(-\frac{k^{3} M_{2}}{M^{2}}\right)\left(\right.$ as $\left.k^{3}=o\left(M_{2}\right)\right)$, which is $\exp (-O(1))$. The number of restarts required per successful termination is thus expected to be $O(1)$.

We note finally that if $M_{2}<M$, then NOLOOPS and NODOUBLES are never called, and the time reduces to $O\left(M k^{2}\right)$.

## 5. Generation of random regular graphs.

By Theorems 2 and $3, D E G$ generates $k$-regular graphs on $n$ vertices uniformly at random in expected time $O\left(n^{2} k^{4}\right)$ provided $k=O\left(n^{1 / 3}\right)$, since $M=n k$ and $M_{2}=$ $n k(k-1)$. We improve this to the following.

Theorem 4. DEG can be implemented so as to generate $k$-regular graphs on $n$ vertices uniformly at random in expected time $O\left(n k^{3}\right)$ per graph, provided $k=O\left(n^{1 / 3}\right)$.

Proof. From the proof of Theorem 3, we see that the theorem will follow if NOLOOPS and NODOUBLES can each be implemented with a maximum time $O\left(n k^{3}\right)$ between restarts. To achieve this, we employ the updating technique and moreover calculate $m_{4}$ in a very special way to begin with.

Take a pairing $P$ with $d$ double pairs (and no loops or triple pairs), let $F$ denote the set of pairs in double pairs, and let $E$ denote the edge set of the multigraph of the pairing. Note that $m_{4}$ is the number of ordered pairs of 2-paths $\left(p_{1}, p_{2}, p_{3}, p_{4}, p_{5}, p_{6}, p_{7}, p_{8}\right)$ satisfying none of the following criteria, where $v_{1}, v_{2}, v_{3}, v_{4}, v_{5}$ and $v_{6}$ denote the vertices containing $p_{1}, p_{2}, p_{4}, p_{5}, p_{6}$ and $p_{8}$ respectively:
(i) $\left\{p_{1}, p_{2}\right\} \in F$
(ii) $\left\{p_{3}, p_{4}\right\} \in F$
(iii) $\left\{p_{5}, p_{6}\right\} \in F$
(iv) $\left\{p_{7}, p_{8}\right\} \in F$
(v) $v_{1}=v_{4}$
(vi) $v_{1}=v_{5}$
(vii) $v_{1}=v_{6}$
(viii) $v_{2}=v_{4}$
(ix) $v_{2}=v_{5}$
(x) $\quad v_{2}=v_{6}$
(xi) $\quad v_{3}=v_{4}$
(xii) $v_{3}=v_{5}$
(xiii) $v_{3}=v_{6}$
(xiv) $v_{1} v_{4} \in E$
(xv) $v_{2} v_{5} \in E$
(xvi) $v_{3} v_{6} \in E$.

Conditions (i) - (iv) and (xiv) - (xvi) ensure that the switching will not involve double edges, and given that, (v) - (xiii) ensure that $v_{1}, \ldots, v_{6}$ are distinct. For $i=1, \ldots, 16$, let $D_{i}$ denote the set of ordered pairs of 2-paths satisfying the $i t h$ criterion. Then by inclusion-exclusion,

$$
\begin{equation*}
m_{4}=\sum_{i=0}^{16}(-1)^{i} \sum\left|D_{j_{1}} \cap \ldots \cap D_{j_{i}}\right| \tag{1}
\end{equation*}
$$

where the second sum is for $1 \leq j_{1}<\ldots<j_{i} \leq 16$, and the empty summation is taken to be $n^{2} k^{2}(k-1)^{2}$ (the number of ordered pairs of 2-paths with no restrictions).

To compute some of the terms in (1), we use the 3-path structure of the pairing, defined as follows. Let $T$ denote the set of points, let $V$ denote the vertex set of the multigraph, let $a, d \in V$, and let $b$ and $c$ each denote either a point or a special symbol (* say) used to mark a sum over all points. For $b, c \in T$, let $s_{a, b, c, d}$ denote 1 if there is a 3-path beginning with $b$ which is in vertex $a$, and ending with $c$ which is in vertex $d$, and 0 otherwise. Then put $s_{a, *, c, d}=\sum_{p \in T} s_{a, p, c, d}$, and define $s_{a, b, *, d}$ and $s_{a, *, *, d}$ similarly. The 3 -path structure of the pairing is the set of numbers $s_{a, b, c, d}$.

The non-zero elements of the 3-path structure can be determined in time $O\left(n k^{3}\right)$ by running through all 3 -paths and incrementing the relevant numbers (which can be stored in an $O\left(n^{3}\right)$ array with constant look-up time). We call the the $s$-array. Records of which entry non-zero can be stored in an $O\left(n k^{3}\right)$ linked list (so that all such entries can later be found in time $O\left(n k^{3}\right)$ ), called the $s$-list, with a pointer from each $s$-array element to the corresponding $s$-list element (for constant-time deletion and insertion, and eliminating the need to initialise the $s$-array to zeros). When a $d$-switching is performed, each edge inserted or deleted occurs in $O\left(k^{2}\right) 3$-paths and hence the above data structure can be updated in time $O\left(k^{2}\right)$.

We claim that each of the terms in the second sum in (1) can be computed in constant time from a set of numbers, including the 3 -path structure, which is computable in time $O\left(n k^{3}\right)$ and can be updated after a single $d$-switching in time $O\left(k^{2}\right)$. This gives the stated complexity of $O\left(n k^{3}\right)$.

It only remains to explain how to deal with the $2^{16}$ terms in (1). We have already treated the case $i=0$, and each of the 16 terms for $i=1$ are similar because the answer does not depend on the pairing given $n, k$ and $d$. For example, $\left|D_{4}\right|=n k^{2}(k-1)^{2}$ since for $v_{1}=v_{4}$ we can choose $p_{1}, p_{2}, p_{3}, p_{4}$ in $n k(k-1)$ ways, then choose $p_{5}$ to be anything in $v_{1}$ (in $k$ ways), and then $p_{6}, p_{7}, p_{8}$ in $k-1$ ways. Similarly, $\left|D_{14}\right|=(n k-2 d) k^{2}(k-1)^{2}$, since there are $n k-2 d$ ordered pairs of adjacent vertices (eligible for $\left(v_{1}, v_{4}\right)$ ) and $k(k-1)$ ways to choose each 2-path from there.

We now turn to $i \geq 2$. For these cases, possibly the most difficult being $D_{14} \cap D_{16}$, some computation needs to be done on the given pairing. Consider $D_{14} \cap D_{16}$ first. For this, we wish to find the number of possibilities for pairs $\left\{p_{1}, p_{2}\right\},\left\{p_{3}, p_{4}\right\},\left\{p_{5}, p_{6}\right\}$, $\left\{p_{7}, p_{8}\right\}$ such that $\left\{p_{2}, p_{1}\right\}$ and $\left\{p_{5}, p_{6}\right\}$ form the beginning and ending of a 3 -path, as do $\left\{p_{3}, p_{4}\right\}$ and $\left\{p_{8}, p_{7}\right\}$, and $p_{2}$ and $p_{3}$ share a common vertex $v_{2}, p_{6}$ and $p_{7}$ share a common vertex $v_{5}, p_{2} \neq p_{3}$ and $p_{6} \neq p_{7}$. Without the last two constraints, this number is $\sum_{v_{1}, v_{2} \in V} s_{v_{1}, *, *, v_{2}}^{2}$ and similarly by inclusion-exclusion,

$$
\left|D_{14} \cap D_{16}\right|=\sum_{v_{1}, v_{2} \in V}\left(s_{v_{1}, *, *, v_{2}}^{2}+s_{v_{1}, *, *, v_{2}}-\sum_{p \in P}\left(s_{v_{1}, p, *, v_{2}}^{2}+s_{v_{1}, *, p, v_{2}}^{2}\right)\right)
$$

This can be computed in time $O\left(n k^{3}\right)$, using the $s$-list to find the non-zero terms. Moreover, the value of each term in this expression can be updated to time $O\left(k^{2}\right)$ when a $d$-switching is performed since at most this many terms in the $s$-array are affected.

We only have 65,518 terms in (1) left to deal with. We leave these as an exercise for the reader. We do have the following hints: $D_{14} \cap D_{15} \cap D_{16}$ can be done easily by restricting the calculation for $D_{14} \cap D_{16}$ to the case that $v_{2}$ and $v_{5}$ are adjacent. Combinations such as $D_{5} \cap D_{16}$ are probably best handled using an array of data for 2-paths as well as 3 -paths. Anything involving any of $D_{1}$ to $D_{4}$ is as easy as the corresponding case excluding these sets, since there are $O\left(k^{2}\right)$ double pairs, which can be located in advance in time $O\left(n k^{2}\right)$ and listed for ready reference in the future. Often, it will suffice to look at all such double edges, and all pairs of 2-paths emanating from either end (in time $O\left(k^{6}\right)=O\left(n k^{3}\right)$ ). An adjacency matrix for the multigraph may come in handy. (Again, pointers can be used to eliminate the need to initialise this.) From here, combinations involving more of the $D_{i}$ get progressively easier to deal with, and almost all of the terms in (1) can be shown to be 0 always.

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